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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

1645 (Conf. No. 7472)  Name  Unknown
1645 (Conf. No. 7472)
Richard D. Cummings et al.
09/12/2003
10/661,049

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ENCLOSURES (Check all that apply)								
	Fee Trans	smittal Form		Drawing(s)			After Allowance Communication to TC	
	☐ Fe	ee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences	
	And And Extension	After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement		Petition Petition to Convert to a Provisional Application Power of Attorney, Revoca Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on	e Address	See	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  Remarks	
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53			1. Transmittal (1 page); 2. Second Supplemental Information Disclosure Statement (3 pages); 3. Second Supplemental Form PTO-1449 (1 page); 4. Return Receipt Postcard.					
		SIGNA	TURE	OF APPLICANT, ATT	ORNEY, C	R AG	ENT	
Firm N	Dunjap, Codding & Rogers, P.C.							
Signat	Signature Character Statement of the Signature							
Printed	Printed name Christopher W./Corbett							
Date		12/29/2004			Reg. No.	30	6,109	
CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: *** SENT BY EXPRESS MAIL, DATED 12/29/2004***								
Signat	Signature () Ligar All World							

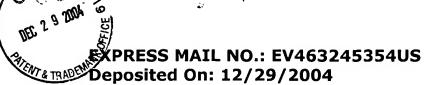
This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

12/29/2004

Christopher W. Corbett

Typed or printed name



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/661,049 Confirmation No.: 7472

Applicant(s)

: Richard D. Cummings and Tongzhong Ju

Filed

: 09/12/2003

TC/A.U.

: 1645

Examiner

: Unknown

Til. 600T4 00 61146

Title: CORE1  $\beta$ 3-GALACTOSYLTRANSFERASE SPECIFIC MOLECULAR

CHAPERONES, NUCLEIC ACIDS, AND METHODS OF USE THEREOF

Docket No.

: 7148.003

**Customer No.** 

: 30589

**Commissioner for Patents** 

P.O. Box 1450

Arlington, VA 22313-1450

#### SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

## List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. [X] Preliminary Statements
- 2. [X] Form PTO-1449 (Modified)
- 3. [ ] Statement as to Information Not Found in Patents or Publications
- 4. [ ] Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
- 5. [X] Copies of Listed Information Items Accompanying this Statement

6. [X] Identification of Person(s) Making this Information Disclosure Statement

### **Section 1. Preliminary Statements**

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Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

## **Section 2. Form PTO-1449 (Modified)**

- [X] A Completed Form PTO-1449 (Modified) is attached hereto.
- Section 3. Statement as to Information Not Found in Patents or Publications (Information Not Listed in Form PTO-1449(Modified))
- Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

This application relies, under 35 U.S.C. § 120, on the earlier filing dat of prior application Serial No, filed on (date).	:е						
(complete the following, if applicable)							

[] This application also relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ (date).

2

The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore, are not required to be provided in this application:

# Section 5. Copies of Listed Information Items Accompanying this Statement

[X] As this application is being filed after June 30, 2003, no copies of cited U.S. patents or patent application publications are submitted herewith.

## Section 6. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information:

- [ ] supplied by the inventor(s)
- [ ] supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- [] in the attorney's file
- [X] cited in corresponding PCT application

Respectfully submitted,

Christopher W/Corbett, Reg. No. 36.109

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Page	1	of	1

Express Matter V463245354US Date Deposited: 12/29/2004

Substitute for form 1449A/PTO

# SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known					
Application Number	10/661,049				
Filing Date	09/12/2003				
First Named Inventor	Richard D. Cummings et al.				
Group Art Unit	1645 (Conf. No. 7472)				
Examiner Name	Unknown				
Attorney Docket Number	7148.003				

(use as many sheets as necessary) U. S. PATENT DOCUMENTS U.S. PATENT NUMBER Date of Publication of Pages, Columns, Lines, Name of Patentee or Applicant **EXAM** Kind Cite Cited Document MM-Where Relevant INIT. No. 1 Number Code<sup>2</sup> DD-YYYY of Cited Document Passages or Relevant (if known) Figures Appear 6492152 **B**1 Canfield et a. 12/10/2002 FOREIGN PATENT DOCUMENTS **EXAM Foreign Patent Document** Cite INIT. No. Name of Patentee or Date of Publication of Pages, Columns, Applicant of Cited Document Cited Document Lines Lines, Where Тб DD-YYYY Relevant Passages or Relevant Kind Code5 Figures Appear Office 3 Number 4 (if known) U.S. and Foreign: 1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. Applicant is to place a check mark here if English language Translation is attached. NON PATENT DOCUMENTS INIT. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

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7148.003 Sec Sup Form 1449.wpd

Publication refers to name of publication in which the information was published.